

# Lecture 7

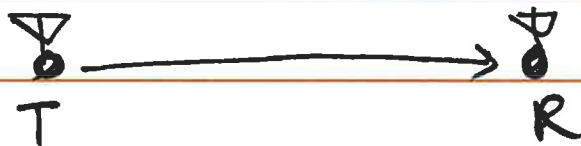
EE 597

1/31/12

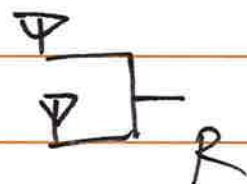
Last topic in

PHY layer communication:

MIMO communications



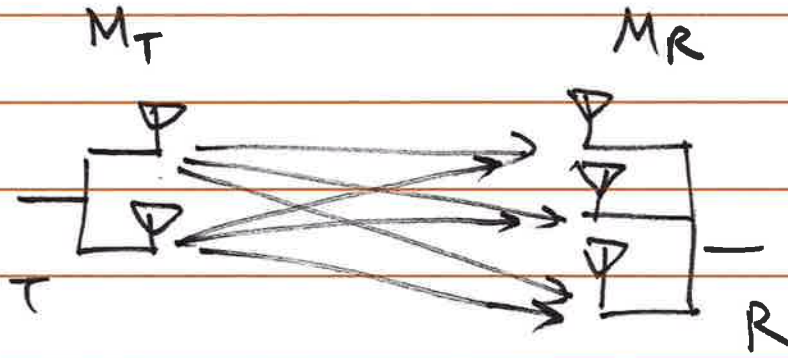
Single input single output  
(SISO)



Single input multiple output  
(SIMO)



MISO



Multiple inputs multiple outputs

MIMO

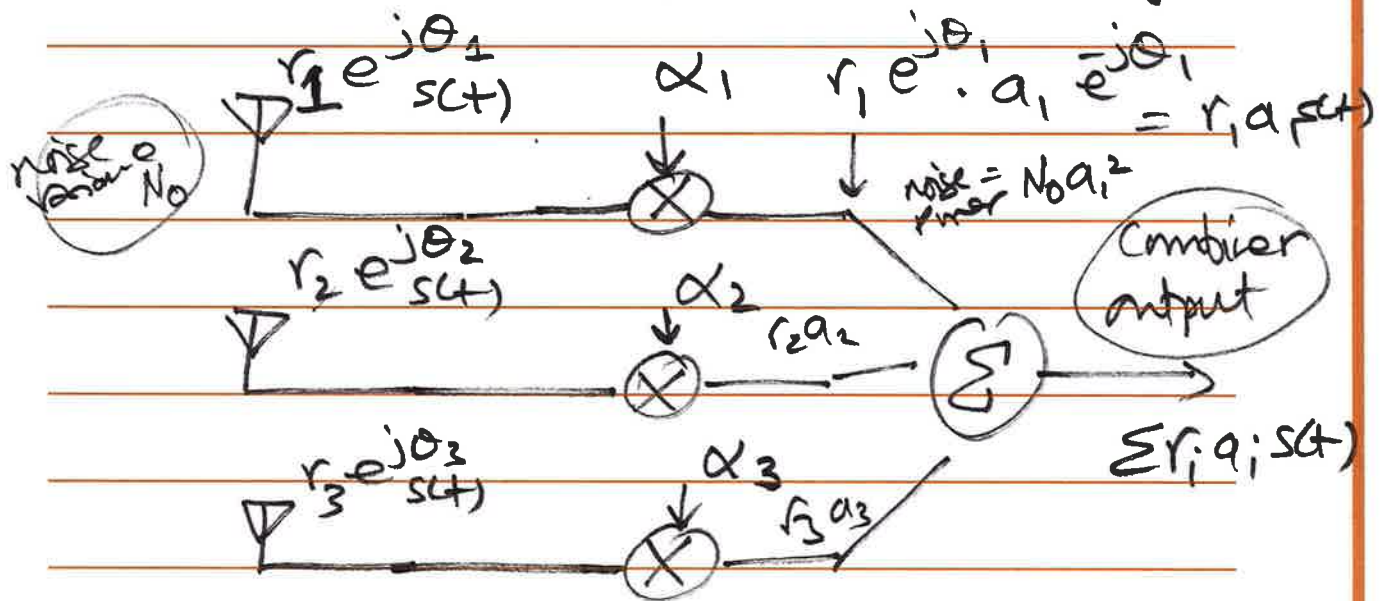
Two possible sources of gains:

more independent paths

- ⇒
- greater rate (multiplexing gain)
  - lower error due to diversity (diversity gain)

Receive Diversity

Combining



$$\alpha_i = a_i e^{-j\theta_i}$$

to be designed.

SNR at the output :

$$\frac{\left( \sum_{i=1}^M a_i r_i \right)^2}{\left( \sum a_i^2 \cdot N_0 \right)} = \frac{\left( \sum a_i r_i \right)^2}{N_0 \left( \sum a_i^2 \right)}$$

How to choose  $a_i$  to maximize the output SNR?

i.e. find  $a_i$  to

$$\max \frac{\left( \sum_{i=1}^M a_i r_i \right)^2}{\sum_{i=1}^M a_i^2} = \gamma$$

$$\left. \frac{\partial \gamma}{\partial a_j} \right|_{a_i = a_i^*} = 0$$

$$\frac{\partial}{\partial a_j} \left( \left( \sum_{i=1}^M a_i r_i \right)^2 \left( \sum_{i=1}^M a_i^2 \right)^{-1} \right) = 0$$

$$- \left( \sum_{i=1}^M a_i r_i \right)^2 \left( \sum_{i=1}^M a_i^2 \right)^{-2} \cdot 2a_j$$

$$+ \left( \sum_{i=1}^M a_i^2 \right)^{-1} 2 \left( \sum_{i=1}^M a_i r_i \right) r_j = 0$$

$$\left(\sum_{i=1}^M a_i r_i\right) \cdot a_j = r_j \cdot \sum_{i=1}^M a_i^2$$

$$\Rightarrow \left(\sum_{i=1}^M a_i r_i\right) \cdot a_j = \left(\sum_{i=1}^M a_i^2\right) \cdot r_j$$

try:

$$\boxed{a_j = r_j} \quad \forall j \quad \text{this satisfies all equations}$$

$$\text{output SNR} = \frac{(\sum r_i a_i)^2}{\sum a_i^2 \cdot N_0}$$

$$= \frac{(\sum a_i^2)^2}{\sum a_i^2 \cdot N_0} = \frac{\sum r_i^2}{N_0}$$

equivalent to  $\sum \text{SNR}_i$

This is called Array Gain.

With a fading channel, we have another source of gain.

$$\overline{P_{\text{error}}} = \int_0^{\infty} P_e(\gamma) f(\gamma) d\gamma$$

w/ fading

$f_{\Sigma}(\cdot)$  is the SNR distribution

at the output of the combiner,

& is more favorable than the distribution for a single path.

$$E[\text{output SNR}] = M \cdot E[\text{SNR for each path}]$$

The improvement in error performance due to this more favorable distribution is called diversity gain.

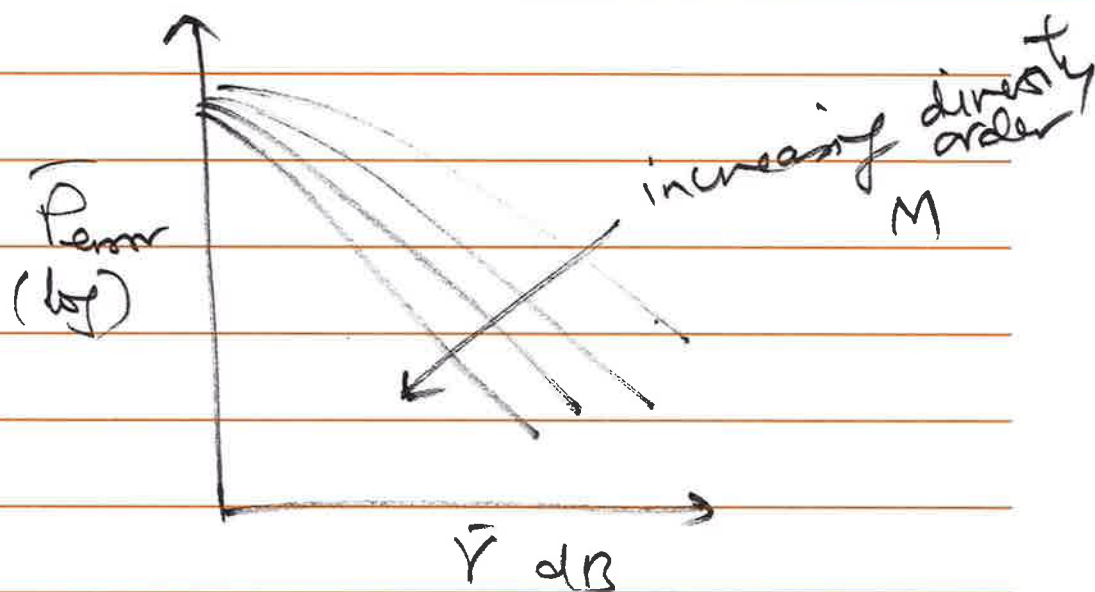
It can be shown that

for high SNR (for different distributions such as Rayleigh)

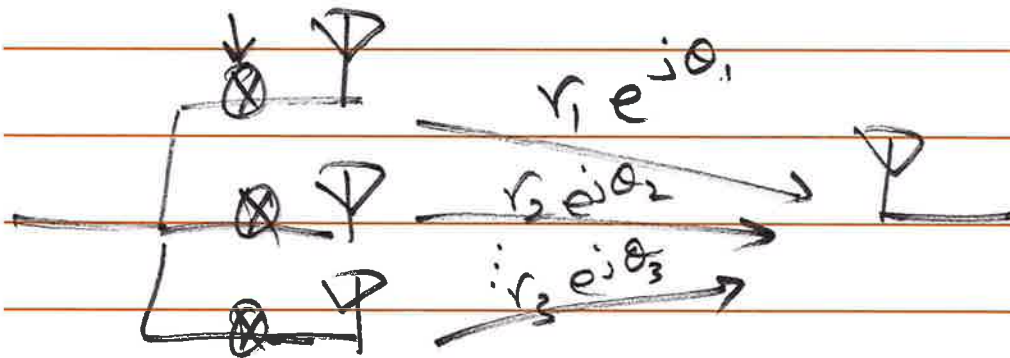
$$P_{\text{error}} \approx \bar{\gamma}^{-M}$$

↑  
average SNR per branch

diversity order  $M$



$$x_1 = a_1 \cdot e^{-j\theta_1}$$

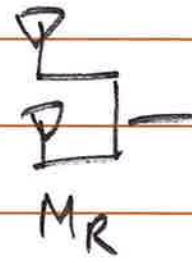
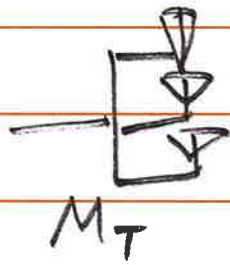


If the channel state information (magnitude / phase of each path) is known at the transmitter,

can correct at the transmitter.  
 correct for phase & set  
 magnitude of weight proportional  
 to the gain (MRC)

This also yields a diversity  
 gain of order  $M$   
 for  $M$  transmit antennas

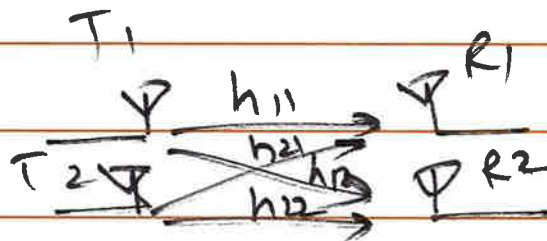




In a MIMO system w/  $M_T, M_R$  transmit, receive antennas respectively, it is possible to achieve a maximum diversity gain of  $(M_T \cdot M_R)$

If the channel state information is not known at the transmitter, can still get a diversity order of  $M_T \cdot M_R$  using "space-time codes".

Multiplexing over a MIMO channel



$$H = \begin{bmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{bmatrix}$$

matrix representation of the 2x2 MIMO channel

$$y = HX + n$$

$2 \times 1$        $2 \times 2$   $2 \times 1$        $2 \times 1$

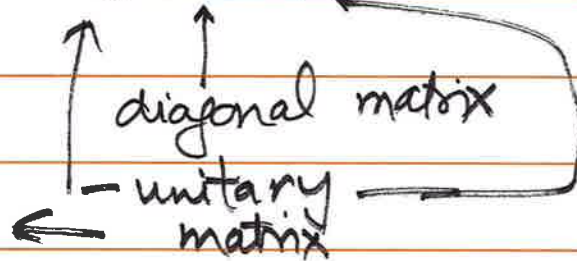
$$y_1 = h_{11} \cdot x_1 + h_{21} \cdot x_2 + n_1$$
$$y_2 = h_{12} \cdot x_1 + h_{22} \cdot x_2 + n_2$$

SVD — Singular vector decomposition

$$\text{svd}(H): H = U \Sigma V^H$$

$$U^H U = I$$

$$V^H V = I$$



at the decoder, multiply by  $U^H$   
at the encoder multiply  $x$  by  $V$

$$\text{Sading, } \tilde{x} = Vx$$

$$\text{at receiver: } U^H (H \tilde{x} + n) = y.$$

$$y = \underbrace{U^H U}_{I} \Sigma \underbrace{V^H V}_{I} x + U^H n$$

$$y = \underbrace{\Sigma}_{2 \times 2} \underbrace{x}_{2 \times 1} + \underbrace{U^H n}_{\tilde{n}}$$

diagonal matrix column vector.

$$y_0 = \begin{bmatrix} \sigma_1 & 0 \\ 0 & \sigma_2 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{pmatrix} \tilde{n}_1 \\ \tilde{n}_2 \end{pmatrix}$$

$$y_1 = \sigma_1 x_1 + \tilde{n}_1$$

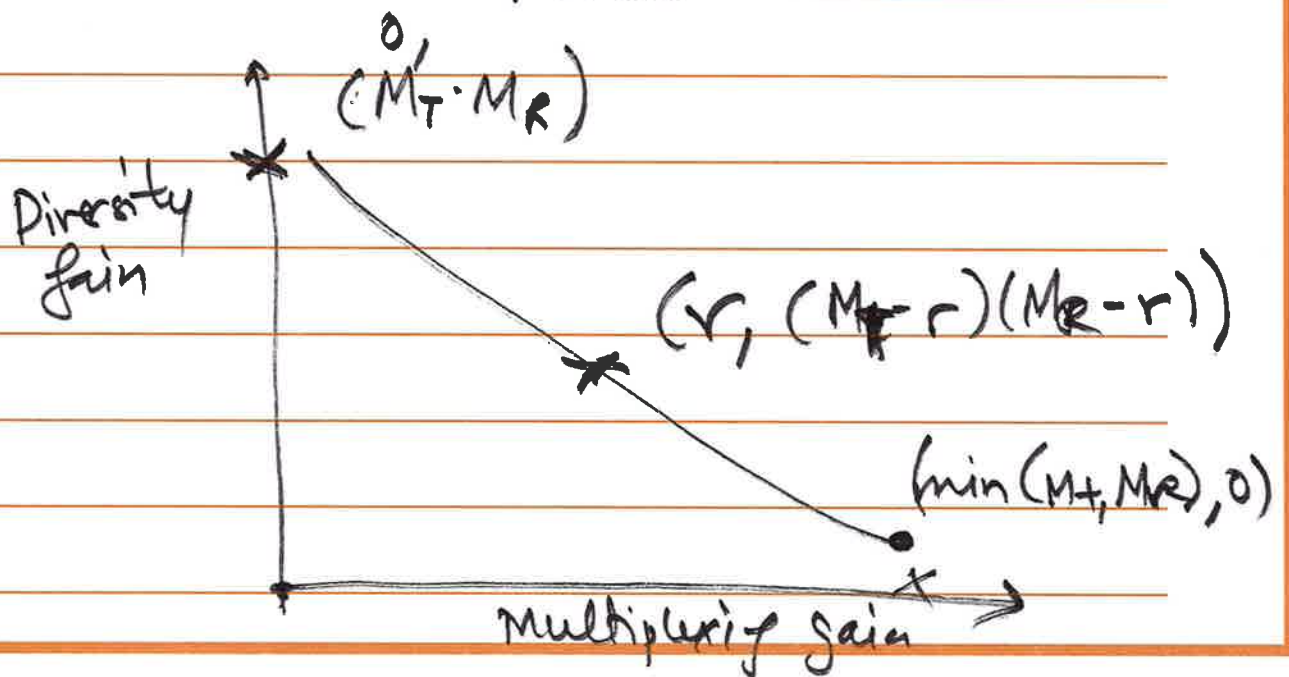
$$y_2 = \sigma_2 x_2 + \tilde{n}_2$$

This is like having two parallel virtual channels, doubling the rate.

In general, with  $M_T$  transmit antennas &  $M_R$  receive antennas, it is possible to have a maximum multiplexing gain of

$$\min(M_T, M_R).$$

Theoretical tradeoff between Diversity & multiplexing in MIMO



In general, under low SNR conditions, it's preferable to use the multiple antenna resources to obtain a diversity gain.

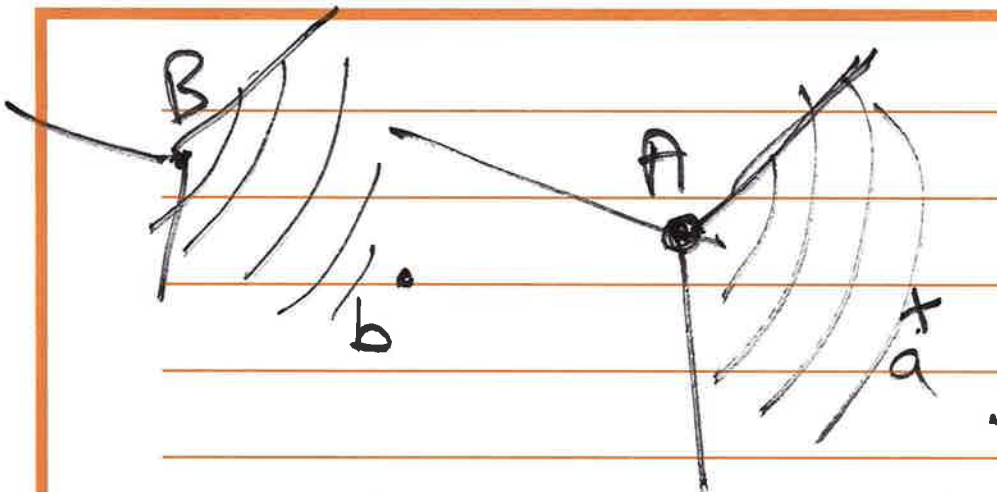
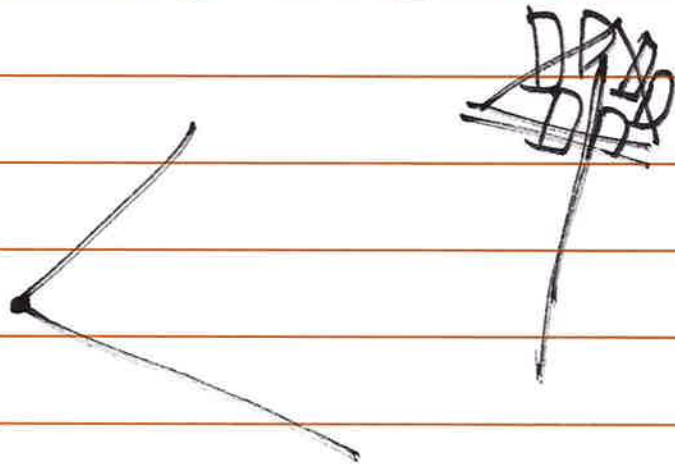
Conversely, at high SNR, it is preferable to obtain a multiplexing gain to boost the data rates in a MIMO

System.

### Directional Antennas

typically, omnidirectional antennas are used

In cellular communications, Base Stations typically use  $120^\circ$  sector antennas

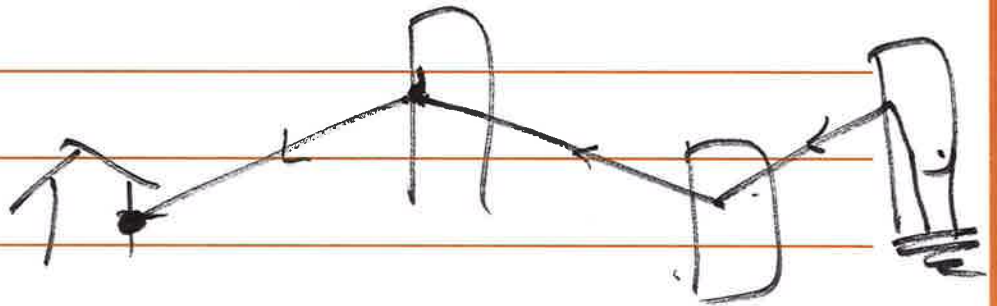


in this example node ~~a~~ b does not experience interference from ~~node~~ B.S. A.

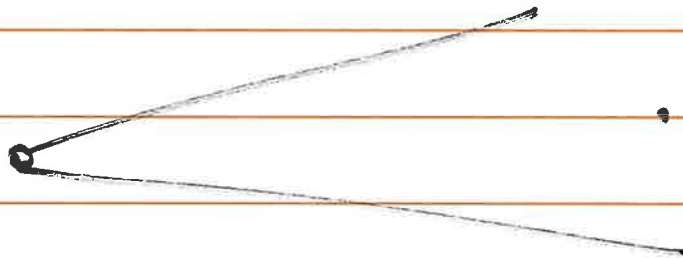
NetBlazer.com

↳ startup in Boston

providing mesh-network  
based wireless Broadband

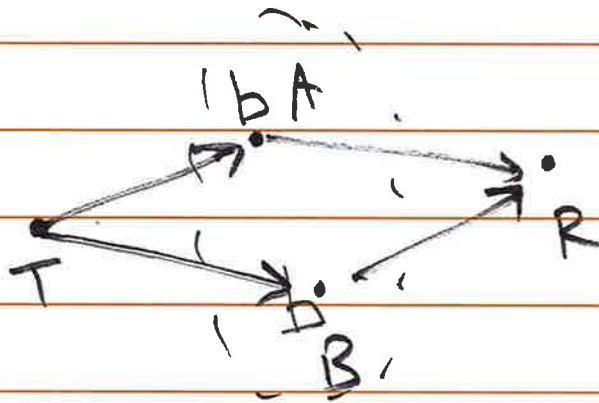


this boosts the SNR significantly.



Networked MIMO / distributed MIMO /  
cooperative network / communications /  
Distributed input Distributed output





4G/LTE standards talk about -  
 CoMP - coordinated  
 multi point transmissions

