

EE 597

Lecture 3

5/25/2016

Recap.

Phy Layer

- Digital communications
  - modulation schemes
  - performance under AWGN.

- Radio propagation modeling
  - Simple path loss model
    - w/ lognormal fading
  - Markov channel model
  - Performance of modulation schemes under fading.

AWGN

monotonically decreasing  
SNR

$$\text{BER} \rightarrow P_b = f(\gamma)$$

fading channel

characterizes the radio

$\gamma$  is a random variable  
with some pdf  $g(\gamma)$

fading distribution

characterizes the environment

2 approaches to characterizing  
performance.

Approach 1:  $E[P_b] = \int_{-\infty}^{\infty} f(\gamma) g(\gamma) d\gamma$   
 expected or average bit error rate

Approach 2: start w a BER threshold  
 $P_b^{\text{th}}$  s.t. want  $P_b < P_b^{\text{th}}$ .

given  $f(\gamma)$  can derive an SNR threshold  
 $\gamma_{\text{out}}$  s.t. want  $\gamma > \gamma_{\text{out}}$

$$P_{\text{outage}} = \Pr[\gamma < \theta_{\text{out}}]$$
$$= \int_{-\infty}^{\theta_{\text{out}}} f(\gamma) d\gamma$$

what can we do to control the  $E[P_b]$  or  $P_{\text{outage}}$ ?

- increase signal power
- change modulation scheme

(lower rate)

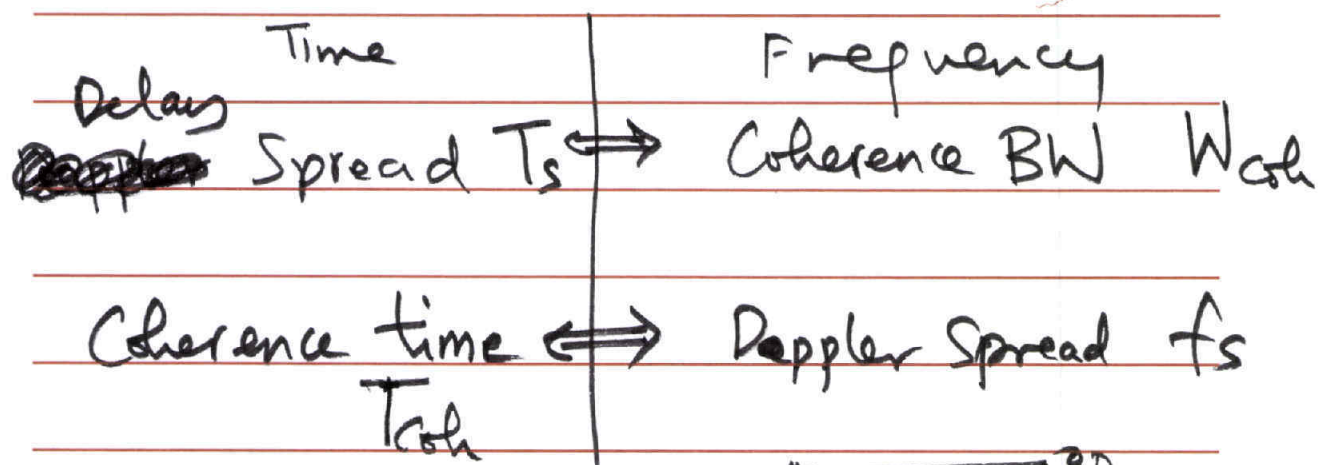
power - throughput - error rate

## Frequency-Time view of fading

Delay Spread : due to multipath, some of the reflections arrive "late", causing inter-symbol interference.

Doppler Spread : due to mobility of the sender/receiver or

even objects in the environment the carrier frequency gets shifted up/down.



$$\underline{T_c} \propto \frac{1}{f_s} \rightarrow \text{relative velocity}$$

if  $T_c$  is low (due to scattering/reflecting dynamics of nodes / objects in environment-) then we say the env. is experiencing fast fading.

else, we call it slow fading.

$$T_s \propto \frac{1}{W_{coh}}$$

if  $W_{coh}$  is small, i.e.  $T_s$  (delay spread) is large, we say the env. shows frequency selective fading, else if  $W_{coh}$  is large  $\Rightarrow$  flat fading.

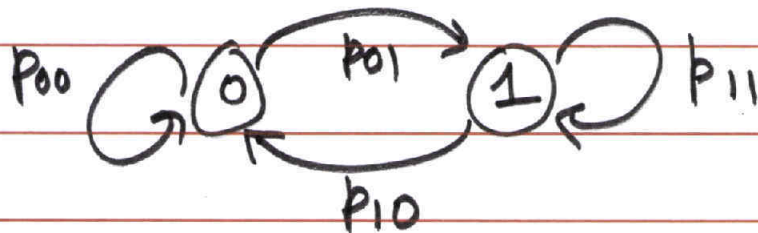
when we have inter-symbol interference

Discrete time channel model.

Markovian

Simplest model: only 2 states.

Gilbert - Elliot model



0 - bad (outage:  $\gamma < \theta_{\text{out}}$ )

1 - good  $\gamma \geq \theta_{\text{out}}$

constraints:

$$p_{00} + p_{01} = 1$$

$$p_{01} = 1 - p_{00}$$

&

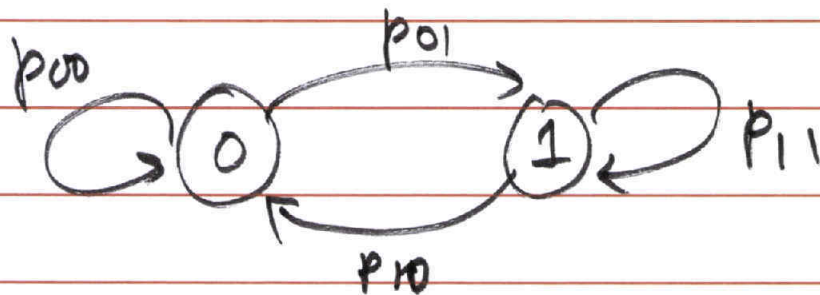
$$p_{11} + p_{10} = 1$$

$$p_{10} = 1 - p_{11}$$

to specify the model, enough to give 2 numbers:  $(p_{01}, p_{11})$

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Intuitively, say we keep  $p_{00}$  fixed, and vary  $p_{11}$  what should happen to  $T_{\text{coherence}}$ ?



$p_{11} \uparrow$                        $T_{\text{coh}} \uparrow$   
 $p_{00} \uparrow$                        $T_{\text{coh}} \uparrow$

If at state 1, how long to switch?

Expected value of a ~~Geometric~~ <sup>Geometric</sup> r.v.  
 expected time to first success, w/  
 succ. probability  $p$ .  
 $= \frac{1}{p}$

If at state 1, takes  $\frac{1}{p_{10}} = \frac{1}{1-p_{11}}$

time steps in expectation to switch.  
 $= T_{coh}^{(1)}$

If at state 0,  $\frac{1}{p_{01}}$  is the

exp. time to switch  $= T_{coh}^{(0)}$

possible def. of Tcoherence:

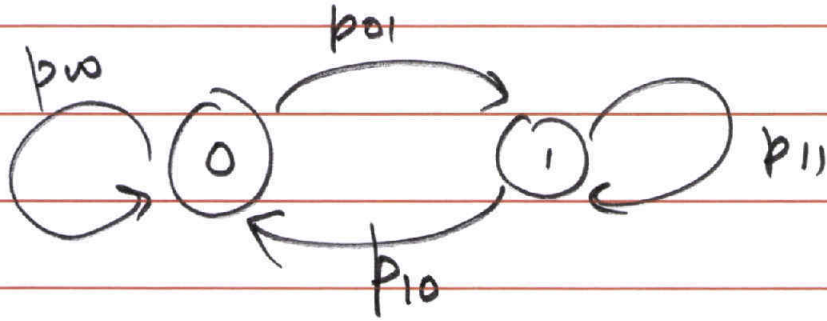
$$T_{coherence} = \frac{1}{2} (T_{coh}^{(1)} + T_{coh}^{(0)})$$

better definition:

$$\pi_0 \cdot T_{coh}^{(0)} + \pi_1 \cdot T_{coh}^{(1)}$$

→ steady state probs. of being in state 0





Global balance equations  
 (recommend working this out on your own!)

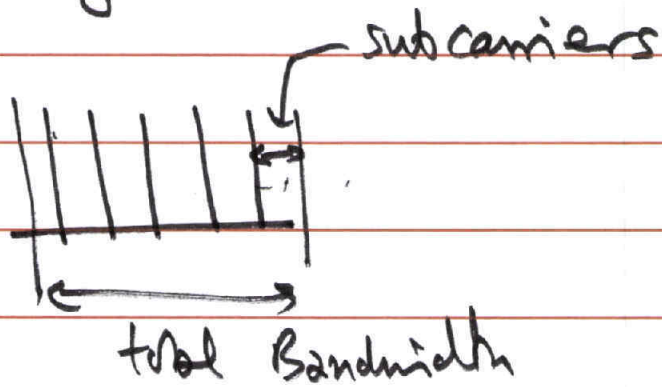
$$\pi_1 = \frac{p_{01}}{p_{01} + p_{10}} \quad \pi_0 = \frac{p_{10}}{p_{01} + p_{10}}$$

$$\begin{aligned} \pi_0 \cdot p_{01} &= \pi_1 \cdot p_{10} \\ \pi_0 + \pi_1 &= 1 \end{aligned}$$

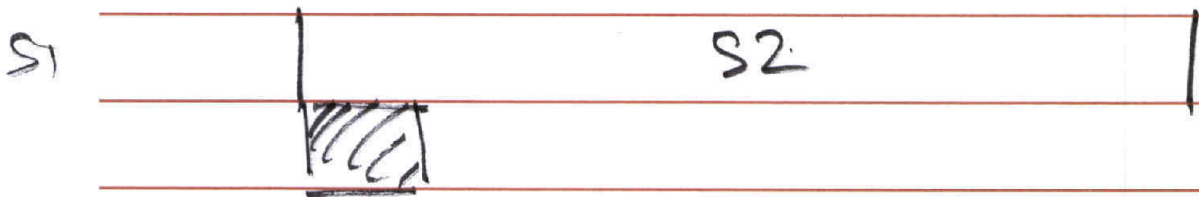
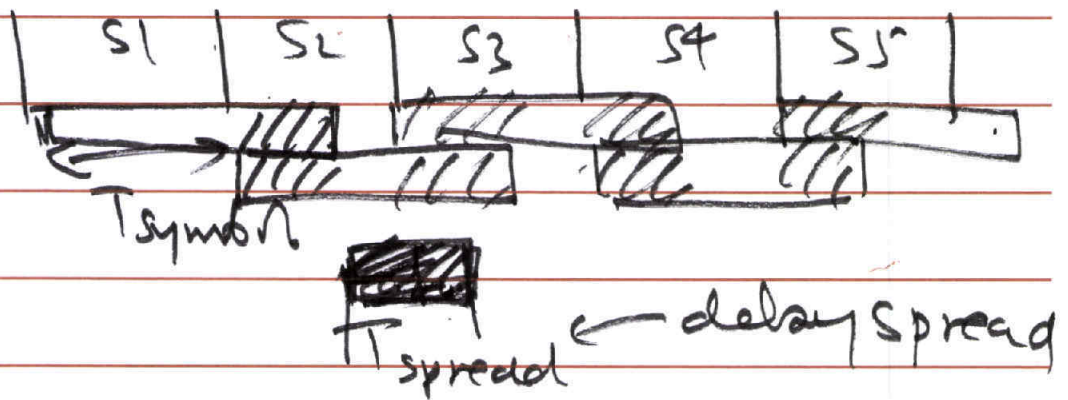
$$T_{\text{cch}} = \pi_0 \cdot \frac{1}{p_{01}} + \pi_1 \cdot \frac{1}{1 - p_{11}}$$

$$= \frac{p_{10}}{p_{01} + p_{10}} \cdot \frac{1}{p_{01}} + \frac{p_{01}}{p_{01} + p_{10}} \cdot \frac{1}{1 - p_{11}}$$

OFDM - orthogonal frequency division multiplexing :



$$\text{Total throughput} = \left( \text{throughput / subcarrier} \right) \times \# \text{ subcarriers.}$$

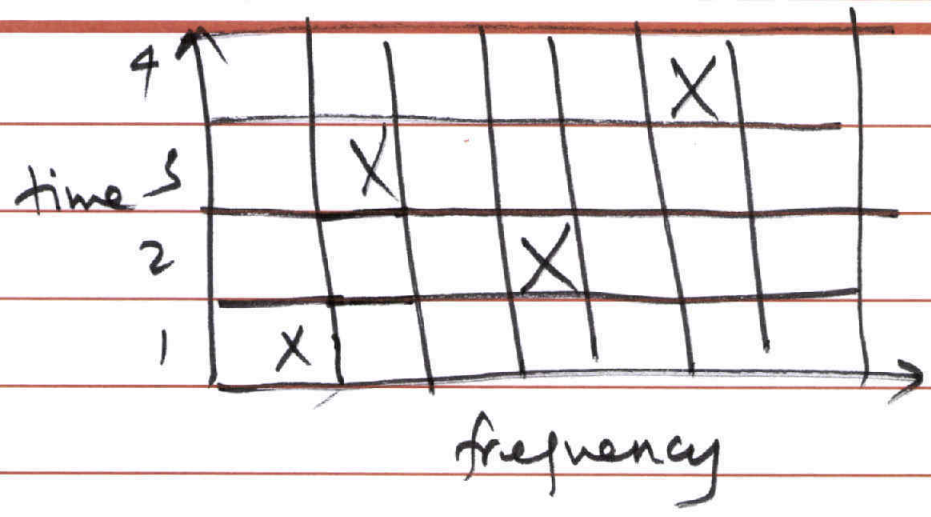


increasing symbol duration reduces interference from prev. symbol

# Spread Spectrum

- └ Frequency hopping (FHSS)
- └ Direct sequence (DSSS)

FHSS initially developed to protect radio links from jamming



(used in Bluetooth)

a form of diversity improvement.

Chip  
A spreading sequence that changes  
at a higher rate than  
the symbols:

symbols:

0 | 1 | 1

chip/spreading  
sequence:

0 | 1 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 1 | 0

coded

Seq: 0 1 1 0 1 0 0 1 1 0 0 1

designed to have certain  
properties:

- e.g.:
- high autocorrelation
- low cross correlation  
w/ other sequences

Receiver: decode using the same  
seq. as at the transmitter.

received

0 1 1 0 1 0 0 1 1 0 0 1

decal  
seq: 0 1 1 0 0 1 0 0 1 1 0

0 0 0 0 | 1 1 1 1 | 1 1 1 1

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0 1 1

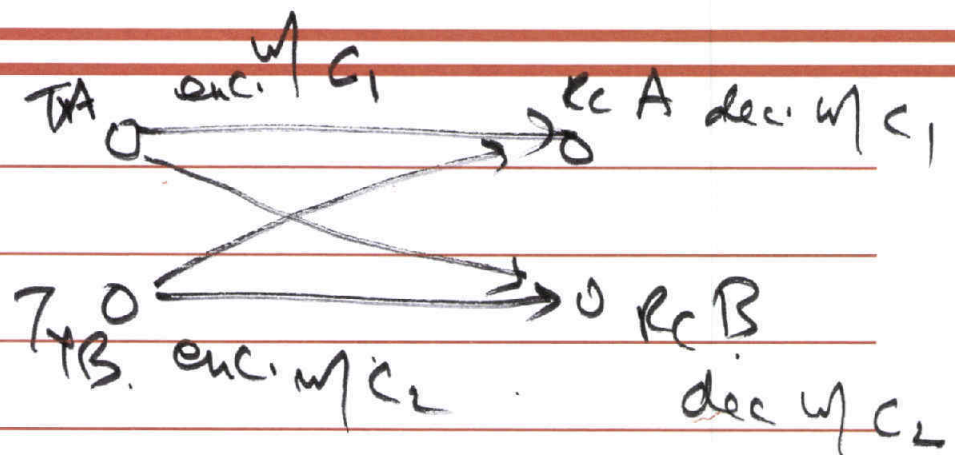
the reflected / delayed versions  
of the signal will (for  
a properly chosen sequence with  
~~high auto~~ peaked autocorrelation  
property) be filtered out.

$$(s_t \cdot c_t) \cdot c_t = s_t$$

$$(s_{t-\tau} \cdot c_{t-\tau}) \cdot c_t = \sim 0$$

helps  
reduce ISI

if 2 different transmitters are sending data encoded with different chip/spreading sequences (carefully selected to be orthogonal or have low cross-correlation) then at the receiver can filter out the interfering signal.



Using <sup>orthogonal</sup> spreading sequences to allow multiple links to access the same channel is known as CDMA.

## Spread Spectrum

FHSS

DSSS

used for  
single links

used for  
multiple links  
w/ orthogonal  
sequences/codes

CDMA

## Error-Control Coding

Forward Error Correction

Basic idea:

adding redundant bits  
in order to reduce the  
error rate.

Block Codes

Convolutional Codes

Block codes: take a block of  
 $k$  bits &  
 output  $n > k$  bits  
 ↗  
 codeword.

additional  $n - k$  bits are  
 used to provide robustness to  
 bit-errors.

most naive solution: replication

101 → 101 101

111 → 111 111

10① 10②  
 . . . = . . . z

$\frac{k}{n}$  coding rate.

lower the coding rate, the better the  
 error performance

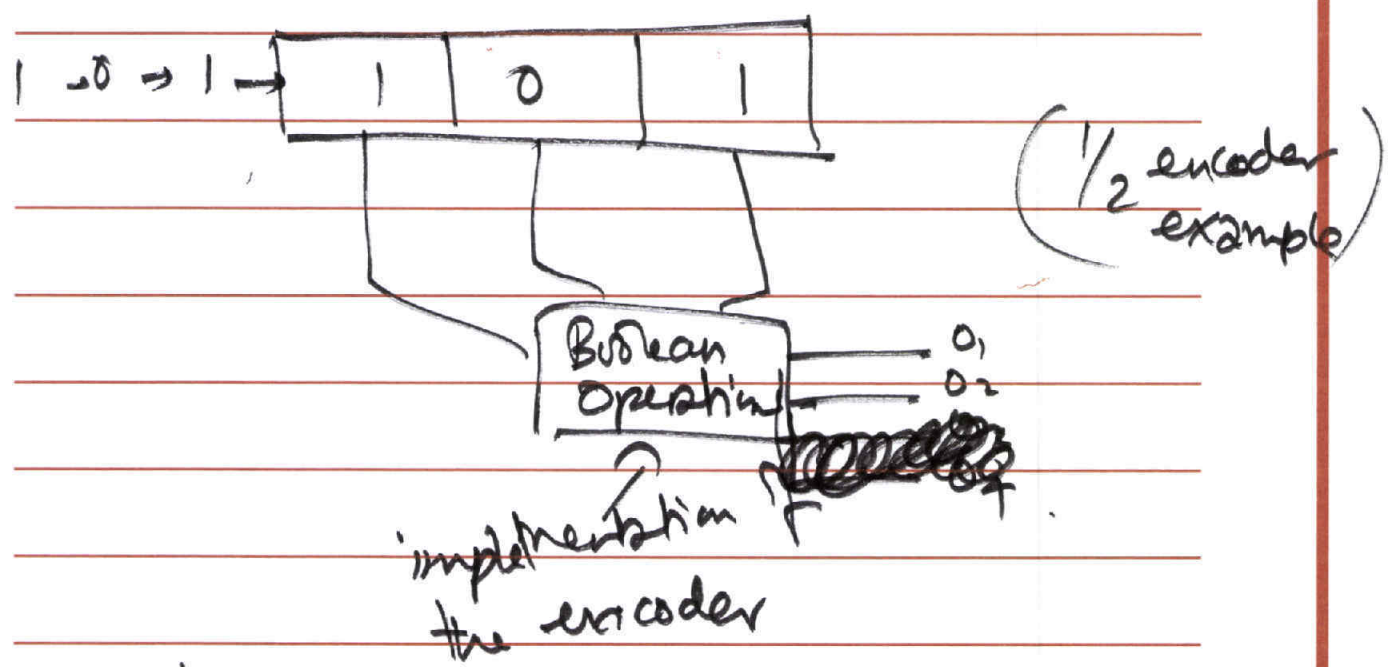


Convolutional codes: shift

described by a coding rate  $\frac{k}{n}$

but the encoding is continuous / stream based.

Typically implemented using shift registers



On the decoder end, can use algorithms such as Viterbi algorithm

advanced convolution <sup>encoding/</sup> decoding  
techniques : Turbo codes /  
LDPC codes etc.

next time: MIMO & diversity.